

# Report of the Adjudicator

Complaint number	#60731		
Cited WASPA members	PM CONNECT AFRICA (Membership no: 1763)		
Notifiable WASPA members	None		
Source of the complaint	WASPA		
Complaint short description	Unsubscribe Request		
Date complaint lodged	2024-09-18		
Date of alleged breach	July 2024		
Applicable version of the Code	17.9		
Clauses of the Code cited	5.14; 7.5; 24.24		
Related complaints considered	60652; 60722; 60735		
Fines imposed	For Breach of Clause 5.14 a fine imposed of R5 payable to WASPA within 7 days of receipt of this adjudication.	000.00	
		00.00	
		00.00	
Other sanctions	n/a		

Is this report notable?	Not notable
Summary of notability	n/a

# **Initial complaint**

- 1. The initial complaint is a result of a complaint by a consumer whereby the consumer provided WASPA with the interactions with the Member.
- 2. An informal complaint was logged by WASPA Complaints department whereafter the complaint was escalated to a formal Complaint as a result of the Member's lack and/or omittance of a response.
- 3. The following reason for escalation:
  - 3.1 The Member failed to respond to the unsubscribe query
  - 3.2 Member failed to provide logs as requested and required.
- 4. Details of complaint under unsubscribe request #7232050

Mobile number : REDACTED
Customer name : REDACTED
Customer email : REDACTED

Actions requested :

Service Provider requested to unsubscribe customer

Service Provider requested to send an SMS confirming this

unsubscribe request

- Service Provider requested to provide proof of subscription

Service Provider requested to contact customer regarding a

refund.

- 5. All unsubscribe requests attached as substantiation
- 6. As such, the Member is alleged to be in breach of clauses 5.14; 7.5 and 24.24 of the WASPA Code of Conduct.

# Member's response

- 7. Members response receipted on 18 September 2024.
- 8. The Member responded that this is an MTN Customer and MTN is responsible for the marketing and do not have visibility to provide logs for proof of subscription and reached out to MTN to gather logs to action the complaint.

## Complainant's response

9. None further noted

## Member's further response

10. The Member provided the logs as provided by the aggregator One Pin for the customer on 2 October 2024.

## **Sections of the Code considered**

11. The Complainant cited the following clauses of the WASPA Code of Conduct as the basis for their complaint

#### Customer support

5.14. Members must have a procedure allowing customers to lodge complaints regarding the services provided. Members must acknowledge receipt of complaints expeditiously, and must respond to any complaints within a reasonable period of time

#### Provision of information about services

- 7.5. Members must provide WASPA with any customer records relating to any service which is the subject of a complaint, including, but not limited to:
  - (a) where that information is available, a record of the marketing link that the customer followed prior to joining a service;
  - (b) all communications sent by or to a customer in the process of joining a service;
  - (c) all required reminder messages sent to a customer;
  - (d) a detailed transaction history indicating all charges levied and the service or content item applicable for each charge; and
  - (e) any record of successful or unsuccessful service termination requests.

## 24. Complaints procedure

#### Formal complaint procedure

24.24. Where a complaint involves any interaction with a consumer, when requested to do so, a respondent must provide clear copies of all relevant logs of that interaction and all relevant marketing material.

#### **Decision**

- 12. After carefully reviewing the complaint and the response from the Member, and on the basis of the evidence presented by the Complainant, I have made the following findings.
- 13. The member did not acknowledge receipt of the unsubscribe complaints expeditiously and did not respond to the complaints until the complaints were escalated and made formal.
- 14. The Code places an obligation on members to ensure their systems and procedures are properly managed and up to date in order to receive complaints and address such complaints expeditiously However, the member did not sufficiently respond to the complaints within a reasonable period of time. Therefore, the member is found in breach of clause 5.14 of the Code.
- 15. No substantiation was provided that the Member took steps to address the complaint after it was escalated, as well as the incomplete provision of customer records, did not adhere to the procedural requirements as prescribed. The member also did not provide full details of customer records relating to the service, which is the subject of the complaint, before the complaint was escalated, and therefore the member is found in breach of clause 7.5 of the Code.
- 16. The member was further required to provide clear copies of all relevant logs of these interactions with the customer, along with any associated marketing material. However, while the member did eventually provide a log in response to the formal complaint, it was both submitted too late and deemed insufficient. The log lacked a complete record of customer interactions and did not include all relevant marketing material. As a result, the member is found in breach of clause 24.24 of the Code.
- 17. Therefore, Member is found to be in breach of clauses 5.14; 7.5; and 24.24 of the WASPA Code of Conduct, and the complaint therefore upheld.

## **Sanctions**

- 18. In determining appropriate sanctions against the Member, the following factors have been taken into consideration:
  - any previous successful complaints made against the Member in the past three vears;
  - any previous successful complaints of a similar nature;
  - the nature and severity of the breach; and
  - any efforts made by the Member to resolve the matter.
- 19. I have also taken account previous precedent set by WASPA adjudicators and appeal panels in previous complaints for the same or similar contraventions when determining appropriate sanctions.
- 20. No previous complaints of this nature have been made against the Member in the preceding 3 years and thus taken into consideration as a mitigating factor.
- 21. Based on the aforementioned, the following sanctions are imposed:

 Daoce	Based of the distribution, the following sanstions are imposed:			
21.1	For Breach of Clause 5.14	a fine imposed of R5 000.00		
		payable to WASPA within 7 days of receipt of this adjudication.		
21.2	For Breach of Clause 7.5	a fine imposed of R5 000.00		
		payable to WASPA within 7 days of receipt of this adjudication.		
21.3	For Breach of Clause 24.24	a fine imposed of R5 000.00		
		payable to WASPA within 7 days of receipt of this adjudication.		

#### Matters referred back to WASPA

21. None noted.